



Account Number

PENNY FOR THE LOT
Customer Instruction and Acknowledgement

Your Broker is unable to locate a ready market or an independent transfer agent employed by the company(ies) listed below to conduct a stock transfer. As there is no market or transfer agent for these securities, you may assign them to Penson Financial Securities, Inc.'s ("Penson") "worthless securities account" for tax purposes. Penson will sell the security from your account for one penny for the lot. The security will then be moved to the Penson "worthless securities account". You will have no further claim to the securities and Penson makes no claims or predictions concerning the future value of the security.

Please check with your tax advisor to confirm that this course of action will produce no unintended results on your tax return.

Number of Shares	Security Description	Symbol / CUSIP

The undersigned hereby agree(s) to sell to Penson, and request(s) that Penson purchase, the securities described below in the amounts stated for a purchase price of one penny (\$0.01) per security issue. The undersigned represent(s) that he/she is the owner of the securities described above in the amounts stated.

The undersigned further agrees to hold Penson harmless from and against any and all claims, demands, causes of action, obligations and liabilities of any kind which arise out of, or are in any manner whatsoever connected with or related to this sale.

Shareholder Name	Shareholder Signature	Date
Joint Shareholder Name	Joint Shareholder Signature	Date